

COPY OF PAPERS
ORIGINALLY FILED

#4.
PATENT



IN THE UNITED STATES

PATENT AND TRADEMARK OFFICE

APPLICANTS: Michael E. Gaddis, David Barmann, Peter N. Hicks and Michael A. Brown

APPLICATION NO.: 09/849,176

FILING DATE: May 4, 2001

TITLE: Method And System For Sending Information On An Extranet

EXAMINER: Not yet known

GROUP ART UNIT: 2152

ATTY. DKT. NO.: 22013-05976

CERTIFICATE OF MAILING

I hereby certify that this correspondence, including the enclosures identified above, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on the date shown below. If the Express Mail Mailing Number is filled in below, then this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 CFR 1.10.

Signature:	<i>Laura Majerus</i>	
Typed or Printed Name:	Laura A. Majerus	Dated: 12/20/01
Express Mail Mailing Number (optional):	EL482716609US	

BOX MISSING PARTS
COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

PETITION UNDER 37 C.F.R. 1.47 CONCERNING INVENTOR
WHO REFUSES TO JOIN IN APPLICATION

SIR:

Michael E. Gaddis, a joint inventor of the above-referenced patent application, has refused to join in the application. As per 37 C.F.R. 1.47(a) and MPEP 409.03(a), enclosed herewith is the declaration signed by the available joint inventors on behalf of themselves and on behalf of the joint inventor who refuses to join in the application.

Also enclosed herewith as per 37 C.F.R. 1.47, MPEP 409.03(a) and 409.03(d) are declarations of Jim Wootten and Karen Schattgen with attached

01/10/2002 AGOITOM 00000038 09849176

02 FC:118

1440.00 DP

PATENT

exhibits, comprising documentary proof of joint inventor Michael Gaddis' refusal to join in the application.

As per 37 C.F.R. 1.47, MPEP 409.03(a) and 409.03(e), Michael Gaddis last known address is as follows:

Michael Gaddis
4562 Huffmeier Road
Defiance, Missouri 63341

Respectfully submitted,

MICHAEL E. GADDIS, DAVID BARMANN,
PETER N. HICKS AND MICHAEL A. BROWN

Dated: 12/20/01

By: Laura Majerus
Laura A. Majerus, Reg. No.: 33,417
Fenwick & West LLP
Two Palo Alto Square
Palo Alto, CA 94306
Tel.: (415) 875-2332
Fax.: (415) 281-1350

22013/05976/DOCS/1232416.1



COPY OF PAPERS
ORIGINAL FILED

IN THE UNITED STATES
PATENT AND TRADEMARK OFFICE

APPLICANT(S): Michael E. Gaddis, David Barmann, Peter N. Hicks,
Michael A. Brown

APPLICATION NO.: 09/849,176

FILING DATE: May 4, 2001

TITLE: METHOD AND SYSTEM FOR SENDING INFORMATION ON
AN EXTRANET

EXAMINER: Not Yet Known

GROUP ART UNIT: 2152

ATTY. DKT. NO.: 22013-05976

COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

Declaration of Karen Schattgen

I, Karen Schattgen, hereby declare the following:

1. I am providing this declaration concerning United States Patent Application Serial Number 09/849,176, titled Method and System for Sending Information on an Extranet, filed on May 4, 2001 ("the Application"). Specifically, I am providing this declaration to establish that Michael Gaddis ("Gaddis"), one of the joint inventors of the invention described and claimed by the Application ("the Invention") has refused to execute the application papers.
2. I am currently employed by CoreExpress, Inc. ("CoreExpress"), as the Executive Assistant to Jim Wootten, the President of CoreExpress. CoreExpress has a place of business at 12655 Olive Boulevard, Suite 500, Saint Louis, MO, 63141.
3. Gaddis is no longer employed by CoreExpress.

4. On October 3, 2001, at the direction of Jim Wootten, I arranged for the application papers to be delivered to Gaddis by Car Service Inc. ("Car Service"), a private currier service. I instructed Car Service to have Gaddis execute the application papers while Car Service waited, and to return the executed application papers to me at CoreExpress. It is my understanding that Car Service delivered the application papers to Gaddis' home located at 4562 Huffmeier Road, Defiance Missouri, 63341. I understand that Car Service asked Gaddis to review and execute the application papers, but Gaddis told Car Service that he would need time to review the papers, and would not execute them while Car Service waited. I also understand that Car Service left the application papers with Gaddis.

5. Gaddis' last know address is:

4562 Huffmeier Road
Defiance Missouri, 63341.

To the best of my knowledge, this is still Gaddis' address.

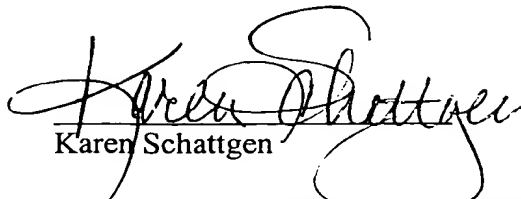
6. Attached hereto as Exhibit A is a true and correct copy of the invoice from Car Service for the delivery of the application papers to Gaddis.

7. Attached hereto as Exhibit B is a true and correct copy of my written instructions to Car Service to deliver the application papers to Gaddis at his home.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States

Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

December 18th, 2001
Date


Karen Schattgen

22013/05976/DOC8/1230886.1



EXHIBIT A

COPY OF PAPERS
ORIGINALLY FILED

CAR SERVICE INC.

Charge Ticket

(636) 530-6880

Date 10/3/01 Fare \$ _____
Driver #5 Tip \$ _____
Total \$ 45.00
Name of Co. to Bill CORE EXPRESS
From CORE EXPRESS
To 4562 Hueffmeier Rd. Defiance M.p.
63341

Authorized Signature
☐ Passenger ☒ Package

Passenger or Package Recipient Signature
Account No. 224

EXHIBIT B

COPY OF PAPERS
ORIGINALLY FILED

Please deliver to Mr. Mike Gaddis

4562 Huffmeier Road

Defiance, Missouri 63341

(636) 398-4259

Please have Mr. Gaddis sign the enclosed documents where labeled
and return the documents to CoreExpress

12655 Olive Blvd.

Suite 500

St. Louis, MO 63141

Karen Schattgen (314) 317-7673

Thank you!!!





IN THE UNITED STATES
PATENT AND TRADEMARK OFFICE

APPLICANT(S): Michael E. Gaddis, David Barmann, Peter N. Hicks,
Michael A. Brown

APPLICATION NO.: 09/849,176

FILING DATE: May 4, 2001

TITLE: METHOD AND SYSTEM FOR SENDING INFORMATION ON
AN EXTRANET

EXAMINER: Not Yet Known

GROUP ART UNIT: 2152

ATTY. DKT. NO.: 22013-05976

COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

Declaration of Jim Wootten

I, Jim Wootten, hereby declare the following:

1. I am providing this declaration concerning United States Patent Application Serial Number 09/849,176, titled Method and System for Sending Information on an Extranet, filed on May 4, 2001 ("the Application"). Specifically, I am providing this declaration to establish that Michael Gaddis ("Gaddis"), one of the joint inventors of the invention described and claimed by the Application ("the Invention") has refused to execute the application papers.

2. I am currently employed as the President of CoreExpress, Inc. ("CoreExpress"). CoreExpress has a place of business at 12655 Olive Boulevard, Suite 500, Saint Louis, MO, 63141.

3. It is my understanding that the Invention was made jointly by Gaddis, David Barmann, Peter Hicks and Michael Brown. At the time the Invention was made, the four joint inventors were all employed by CoreExpress. Gaddis is no longer employed by CoreExpress.

4. On October 3, 2001, I attempted to have Gaddis execute the application papers. At my direction, my Executive Assistant Karen Schattgen arranged for the application papers to be delivered to Gaddis by Car Service Inc. ("Car Service"), a private courier service. I understand that Karen Schattgen instructed Car Service to have Gaddis execute the application papers while Car Service waited, and to return the executed application papers to her at CoreExpress. It is my understanding that Car Service delivered the application papers to Gaddis' home located at 4562 Huffmeier Road, Defiance Missouri, 63341. I understand that Car Service asked Gaddis to review and execute the application papers, but Gaddis told Car Service that he would need time to review the papers, and would not execute them while Car Service waited. I also understand that Car Service left the application papers with Gaddis.

5. Approximately one to one and a half weeks after Car Service delivered the application papers to Gaddis, I spoke with Gaddis personally by telephone. During that telephone conversation, Gaddis informed me orally that he refused to execute the application papers.

6. All of the joint inventors other than Gaddis have executed the application papers.

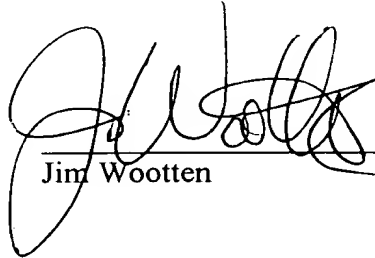
7. Gaddis' last know address is:

4562 Huffmeier Road
Defiance Missouri, 63341.

To the best of my knowledge, this is still Gaddis' address.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

12/18/07
Date


Jim Wootten

22013/05976/DOCS/1230870.1